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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/037,563 |
| | Filing Date | Dec 21, 2001 |
| | First Named Inventor | Pruitt, Ronald Earl |
| | Art Unit | 3694 |
| | Examiner Name | Susanna M. Meinecke Diaz |
| Total Number of Pages in This Submission | Attorney Docket Number | 6476/53629 |

| ENCLOSURES (Check all that apply) | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notice of Non-Compliant Amendment |
| Remarks <input style="width: 100px;" type="text"/> | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|-------------------------------|----------|-------|
| Firm Name | Law Office of Mark J. Spolyar | | |
| Signature | /Mark James Spolyar/ | | |
| Printed name | Mark James Spolyar | | |
| Date | August 28, 2007 | Reg. No. | 42164 |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
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| Signature | | | |
| Typed or printed name | Mark James Spolyar | Date | August 28, 2007 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|---|---|------------------------------------|
| Applicant: R. Pruitt |) | |
| Applicant's Ref: 6476/53629 |) | Examiner: Susanna M. Meinecke Diaz |
| Serial No.: 10/037,563 |) | |
| |) | Group Art Unit: 3694 |
| Filed: December 21, 2001 |) | |
| |) | Date: August 28, 2007 |
| Title: PORTFOLIO MANAGEMENT SYSTEM |) | |
| |) | Confirmation No.: 7111 |

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Dear Sir:

In response to the Notice of Non-compliant Amendment, dated August 10, 2007, please consider the following remarks. As required in the Notice, Applicant is hereby supplying the text of the withdrawn claims and is further supplying a copy, contained in this same paper, of the previously-filed Response to Restriction Requirement that was filed on May 23, 2007

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/arguments begin on page 10 of this paper.

Attny Dkt. No.: 6476/53629

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10/037,563